

**PATENT** 

FFB - 6 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: M. Shimada et al.

: Art Unit: 2872

Serial No.: 09/935,027

: Examiner:

Filed: August 22, 2001

FOR: OPTICAL ELEMENT AND

METHOD OF FABRICATION THEREOF

## INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the reference listed on the PTO 1449 (R&P) submitted herewith. A copy of the listed reference is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The enclosed reference is not in the English language, but a partial English translation thereof is attached to the copy of the reference submitted herewith.

MTS-3270US



- 2 -

TEB-6 2002

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Allan Rather, Reg. No., 19,717 Attorney for Applicants

**Enclosures:** 

PTO Form 1449 Copy of (1) Reference

Dated: December 19, 2001

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for

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